

**M. SINAN GOKTAN**  
College of Business and Economics,  
California State University, East Bay  
25800 Carlos Bee Blvd Hayward, CA 94542

VBT 438 - Office: (510) 885-3797  
E-mail: sinan.goktan@csueastbay.edu

## **EDUCATION**

---

### **Ph.D. in Finance**

*The University of Texas at Dallas, Richardson, TX* 2003-2008  
Dissertation: "Wealth effects of Antitakeover Provisions in Mergers"

### **MBA in Finance**

*University of North Texas, Denton, TX* 2000-2002

### **BS in Mechanical Engineering**

*Istanbul Technical University, Istanbul, Turkey* 1996-2000

## **ACADEMIC EMPLOYMENT**

---

2014-Present California State University, East Bay, *Associate Professor of Finance*  
(Tenured)

2008-2014 California State University, East Bay, *Assistant Professor of Finance*

*Courses taught:* FIN 6215 Seminar in Corporate Financial Management (MBA), FIN 6375 International Financial Management (MBA), FIN 4300 Corporate Finance, FIN 4375 International Business Finance, FIN 3300 Financial Management

2006- 2007 University of Texas at Dallas, Instructor  
*Courses taught:* Business Finance, Applied Corporate Finance

2003-2006 University of Texas at Dallas, Teaching Assistant  
*Courses:* Advanced Financial Management (MBA), Financial Management (MBA), Money and Capital Markets (MBA)

2000-2002 University of North Texas, Teaching Assistant

## **RESEARCH**

---

Butler, A. W., Goktan M. S., 2013, "Why would Companies Work with Inexperienced Venture Capitalists?", *Journal of Corporate Finance*, Vol. 22, pp. 299–319..

Altintig, Z.A., Chiu H.H., Goktan M.S., 2013, "How does uncertainty resolution affect VC syndication?", Financial Management, Vol. 42(3), pp. 611–646.

Goktan M.S., Kieschnick R., 2012, "A target's perspective on the effects of ATPs in takeovers after recognizing its choice in the process", Journal of Corporate Finance, Vol. 18(5), 1088-1103.

Goktan, M.S., 2013, "How Does Information Asymmetry Affect the Division of Gains in Mergers?", Managerial Finance, 59(1), 60-85.

Goktan, M.S., Ucar, E., 2012, "Public vs. Private: Characteristics of the Companies Backed by Listed Private Equity", Journal of Accounting and Finance, 12(5), 11-25.

Fricke E., Fung S., Goktan M.S., 2014, "Google Search, Information Uncertainty, and Post-Earnings Announcement Drift", Journal of Accounting and Finance, 14, 11-27.

### **Works in Progress**

"Benefits of Public Reporting to the Capital Markets: Evidence from IPOs Backed by Listed Private Equity Firms" with Volkan Muslu, revise and resubmit for the Special Issue in *Journal of Corporate Finance*.

"The influence of corporate governance on corporate exits," with Robert Kieschnick and Rabih Moussawi, revise and resubmit, *Journal of Corporate Finance*.

"The Impact of Private Securities Exchanges on Firm Liquidity and IPO Activity" with Eric Fricke and Robert Loveland, submitted to *Managerial Finance*.

"Is Venture Capital Socially Responsible? The Effect of VC Funding on Companies" with Ekin Alakent, will be submitted to *Strategic Management Journal*.

"Who invests using "Accredited Crowdfunding?" Evidence from 506(c) filings" with Scott Fung and Eric Fricke, work in progress.

### **CONFERENCE PRESENTATIONS**

---

"The influence of corporate governance on corporate exits" with Robert Kieschnick and Rabih Moussawi

- Presented at the Multinational Finance Association conference, Halkidiki, Greece, June 2015.
- Presented at the SEC Financial Reporting Conference, Irving, California, September 2005
- Presented at the Financial Management Association meetings (Top Ten Session), Salt Lake City, Utah, October 2006
- Presented at the Eastern Finance Association meetings, Philadelphia, April 2006

“Benefits of Public Reporting to the Capital Markets: Evidence from IPOs Backed by Listed Private Equity Firms” with Volkan Muslu,

- Presented at the Financial Management Association meetings, Chicago, October 2013
- Presented at the Multinational Finance Association conference, Izmir, Turkey, June 2013.
- Presented at the London Business School, December 2012

"Is Venture Capital Socially Responsible? The Effect of VC Funding on Companies” with Ekin Alakent

- Presented at the Multinational Finance Association conference, Stockholm, Sweden, June 2016.
- Presented at the Strategic Management Society Conference, Berlin, Germany, September 2016.

“The Impact of Private Securities Exchanges on Firm Liquidity and IPO Activity” with Eric Fricke and Robert Loveland

- Accepted for presentation at the Annual Southern Finance Conference, SanDestin, Florida, November 2016.

“Google Search, Information Uncertainty, and Post-Earnings Announcement Drift” with Eric Fricke and Scott Fung

- Presented at the Financial Management Association meetings, Chicago, October 2013

“Public vs. Private: Characteristics of the Companies Backed by Listed Private Equity” with Erdem Ucar

- Presented at the Financial Management Association meetings, Denver, October 2011

“How does uncertainty resolution affect VC syndication?” with Z. Ayca Altintig and Hsin-Hui Chiu.

- Presented at Chapman University, Argyros School of Business, Seminar Series, March 2010
- Presented at the Financial Management Association meetings, New York, October 2010.

“A target's perspective on the effects of ATPs in takeovers after recognizing its choice in the process” with Robert Kieschnick

- Presented at the Financial Management Association meetings (Top Ten Session), Reno, Nevada, October 2009.
- Semi-finalist for the “Best Paper award in Corporate finance” at the 2009 FMA meetings
- Presented at the California State University, East Bay, May 2009.

“Why would Companies Work with Inexperienced Venture Capitalists?” with Alexander Butler

- Presented at the University of Texas at Dallas, April 2007
- Presented at the Midwest Finance Association meetings, San Antonio, March 2007
- Presented at the Financial Management Association meetings, Dallas, October 2008

How Does Information Asymmetry Affect the Division of Gains in Mergers?"

- Presented at the University of Texas at Dallas, December 2006
- Presented at the Financial Management Association meetings, Dallas, October 2008

## **ACADEMIC HONORS & AWARDS**

---

- Named as the Acosta Endowed Professor of Finance at the College of Business and Economics at CSUEB, November, 2014.
- Invited to serve as a member of the 2015 FMA Annual Meeting Program Committee
- Received the Provost’s Outstanding Researcher Award (Untenured) from California State University East Bay, April 2014.
- Received A. Marv Remmich Outstanding Researcher Award from the College of Business and Economics, California State University East Bay, June 2014
- Received a “Certificate of Appreciation for the Outstanding Instruction”, selected with the votes of the students within the Honors program, May 2013.
- Received CABEC (China America Business and Education Center) research support grant to present a paper at the Multinational Finance Society conference.
- Received a grant from HSBC to fund a project with my students under the Enactus organization to create an online instruction for small business owners.
- The University of Texas at Dallas, Graduate Studies Scholarship, 2003-2008
- Full scholarship awarded by IAESTE (The International Association for the Exchange of Students for Technical Experience) for summer internship. Worked as a research assistant in the Mechanical Engineering Department in Brandenburg University, Germany, July-August 1999.

## **UNIVERSITY SERVICE**

---

### University Committees

California State University East Bay, Honorary Degree Committee (2013)

California State University, East Bay, Instructionally Related Activities (IRA) (now A2E2)) (2010, 2011 and 2012).

California State University, East Bay, Subcommittee on Basic Skills Requirements Appeals (2009-now)

### College Committees

CBE scholarship committee (2016)

Entrepreneurial Program Task force (2015-now)

Journal List committee (2015)

College of Business and Economics, Faculty Affairs committee (2014-Now)

Department Committees

Q2S conversion MBA-Finance option chair (2016)

Faculty search committee for a tenure track position for the Finance department (2011, 2012)

**SERVICE TO THE PROFESSION**

Ad Hoc referee for Journal of Business Venturing, Financial Review, Managerial Finance